UNIVERSIDADE DE SANTA CRUZ DO SUL

CURSO DE FISIOTERAPIA

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EFEITO DO TRABALHO DE CAMINHADA SOBRE A CINÉTICA *ON* E *OFF* DA FREQUÊNCIA CARDÍACA EM PACIENTES COM DPOC

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Artigo Científico apresentado à Disciplina de Trabalho de Curso em Fisioterapia II, do Curso de Fisioterapia da Universidade de Santa Cruz do Sul - UNISC, como requisito para obtenção do título de Bacharel em Fisioterapia.

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Financiamento: Conselho Nacional de Desenvolvimento Científico e Tecnológico - CNPq, Hospital Santa Cruz - HSC, Universidade de Santa Cruz do Sul - UNISC.

Conflitos de interesse: Os autores não têm conflitos de interesse a declarar.

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3

4 **RESUMO**

5 Introdução: A Doença Pulmonar Obstrutiva Crônica (DPOC) apresenta interações 6 cardiopulmonares importantes no acometimento aos pacientes, as quais podem ser 7 difíceis de explorar principalmente durante o exercício. Objetivo: Analisar o efeito do 8 trabalho de caminhada sobre a cinética on e off da Frequência Cardíaca (FC) durante o 9 Teste de Caminhada de Seis Minutos (TC6m) em pacientes acometidos por DPOC. 10 Métodos: Estudo transversal, retrospectivo, tipo análise de dados secundários do banco 11 de dados de um projeto de pesquisa. Variáveis coletadas: clínicas, função pulmonar, 12 capacidade funcional (TC6m), trabalho de caminhada do TC6m por meio da equação T 13 = F x D (T = Trabalho, F = Força/Peso, D = Distância), cinética on e off da FC [valor 14 médio da FC no último minuto da linha de base (A0), amplitude da resposta da FC em 15 estado estacionário (A1), atraso de tempo para o início da resposta da FC (TD), constante 16 de tempo exponencial da curva (TAU) e tempo médio da resposta da FC (TMR = TAU + 17 TD)]. Resultados: Foram avaliados 11 pacientes, sexo masculino (n=6), acima do peso (28,9 kg/m²), risco cardiovascular elevado (n=10) e DPOC variando entre moderado e 18 19 muito severo (n=11). A cinética on e off da FC apresentaram comportamento semelhante, 20 ambas com lentificação, porém sem diferença significativa. O trabalho de caminhada 21 apresentou um tamanho de efeito grande sobre todas as variáveis da cinética on e off da 22 FC. Associações entre amplitudes on e off da FC e entre o delta de variação da FCrec 23 1min e SpO₂ de repouso foram observadas. Conclusão: Pacientes com DPOC 24 apresentaram resposta atenuada da FC no início e ao final do exercício e esta parece estar

- 25 relacionada a baixa saturação periférica de oxigênio em repouso, inferindo um efeito
- 26 grande do trabalho de caminhada sobre todas as variáveis da cinética *on* e *off* da FC.

- 28 Palavras-chave: Doença Pulmonar Obstrutiva Crônica, Teste de caminhada, Frequência
- 29 cardíaca, Trabalho, Caminhada, Mortalidade.
- 30
- 31 Lista de abreviações:
- 32 DPOC: Doença Pulmonar Obstrutiva Crônica.
- **33** TC6m: Teste de Caminhada de Seis Minutos.
- 34 FC: Frequência Cardíaca.

37

38 ABSTRACT

39 **Introduction:** Chronic Obstructive Pulmonary Disease (COPD) presents 40 cardiopulmonary interactions that are important for patients, which can be difficult to 41 explore mainly during exercise. Objective: To analyze the effect of walking work on 42 heart rate (HR) on and off kinetics during the Six-Minute Walk Test (6MWT) in patients 43 with COPD. Methods: Cross-sectional, retrospective, analysis of secondary data from 44 the database of a research project. Variables collected: clinics, pulmonary function, 45 functional capacity (6MWT), 6MWT walking work using the equation $T = F \times D$ (T = 46 Work, F = Strength/Weight, D = Distance), HR kinetics on and off [average HR value in 47 the last minute of the baseline (A0), amplitude of the steady-state HR response (A1), time 48 delay to start the HR response (TD), exponential curve time constant (TAU) and mean 49 HR response time (TMR = TAU + TD)]. Results: 11 male patients (n=6), overweight 50 (28.9 kg/m^2) , high cardiovascular risk (n=10) and COPD ranging from moderate to very 51 severe (n=11) were evaluated. The kinetics on and off of HR showed similar behavior, 52 both with slowing, but without significant difference. The walking work had a large effect 53 size on all the variables of the kinetics on and off of HR. Associations between HR on 54 and off amplitudes and between the HRrec 1min variation delta and resting SpO₂ were 55 observed. Conclusion: Patients with COPD showed an attenuated HR response at the 56 beginning and at the end of the exercise and this seems to be related to low peripheral 57 oxygen saturation at rest, inferring a great effect of walking work on all the variables of 58 the HR kinetics on and off.

- 60 Keywords: Chronic Obstructive Pulmonary Disease, Walk test, Heart rate, Work, Walk,
- 61 Mortality.
- 62

63 List of abbreviations:

- 64 COPD: Chronic Obstructive Pulmonary Disease.
- 65 6MWT: Six-Minute Walk Test.
- 66 HR: Heart Rate.

67 1 INTRODUÇÃO

68

Devido à exposição contínua aos fatores de risco e ao envelhecimento demográfico da população, a Doença Pulmonar Obstrutiva Crônica (DPOC) vem em ascensão ao longo dos anos (GOLD, 2019). Atualmente, ela é considerada a 4ª principal causa de morte no mundo, sendo projetada para se tornar a principal causa de mortalidade ao longo dos anos, nos proporcionando uma visão mais ampliada do impacto desta doença sobre a população e os custos em saúde, representando um importante desafio à saúde pública (GOLD, 2019).

76

77 A condição funcional de pacientes com DPOC é amplamente avaliada e 78 monitorada por meio do Teste de Caminhada de Seis Minutos (TC6m), sendo este 79 considerado um teste de alta aplicabilidade na avaliação da gravidade e tolerância ao 80 exercício físico na DPOC (TONELLI et al., 2014). Entretanto, nos últimos anos a 81 comunidade científica concentra-se em outros parâmetros além da distância percorrida no 82 TC6m (TONELLI et al., 2014), como a altura e o peso corporal que afetam o 83 comprimento da passada e o trabalho/energia necessária para realizar a caminhada, 84 repercutindo na distância percorrida e na eficiência da deambulação (CARTER et al., 85 2003).

86

87 Na mensuração do TC6m, o foco é a alteração fisiológica imposta pela doença,
88 amplificada por exacerbações e beneficiada por intervenções terapêuticas, incluindo
89 treinamento físico. O trabalho de caminhada leva em consideração o comprimento da
90 passada, eficiência contrátil do músculo e propriedades elásticas dos tecidos conjuntivos.
91 Entretanto, a conversão da distância da caminhada em capacidade máxima de exercício

92 não é fácil, devido a diferenças nas características do exercício e no fator de peso corporal
93 que confunde o desempenho da caminhada (CHUANG et al., 2001). Dessa forma, a
94 equação reduzida é simplesmente a equação para o trabalho, que é T = F x D, onde T é
95 trabalho, F é força e D é distância (CARTER et al., 2003; CHUANG et al., 2001).

96

97 Carter et al. (2003) e Chuang et al. (2001) investigaram o uso do produto distância
98 do peso corporal (ou seja, distância percorrida x peso corporal) a pé como um método
99 alternativo para avaliar a capacidade funcional para caminhar e concluíram que a
100 distância de caminhada x produto do peso corporal é uma boa medida para relatar a
101 capacidade de exercício para o TC6m em pacientes com DPOC, pois este cálculo de
102 trabalho produz melhores coeficientes de correlação com os índices de função pulmonar
103 e de troca gasosa nos pacientes estudados.

104

105 Por vezes, as interações cardiopulmonares podem ser difíceis de explorar em 106 pacientes com DPOC, principalmente durante o exercício (DUBÉ et al., 2015). Alguns 107 parâmetros de avaliação relativamente novos incluem a análise da resposta cronotrópica 108 e da cinética da Frequência Cardíaca (FC) que respondem a exercícios de alta intensidade 109 (DUBÉ et al., 2015; TONELLI et al., 2014). A existência de cinéticas anormais da FC 110 tem implicações potencialmente negativas para o desempenho do exercício em pacientes 111 com DPOC (LAVENEZIANA et al., 2009), sendo considerada como um importante 112 marcador da gravidade da doença associada ao aumento do risco de mortalidade 113 (BRUBAKER et al., 2011), uma vez que esse dado leva em consideração a limitação ao 114 fluxo aéreo e a capacidade ao exercício reduzida relacionada a essa população (PESSOA 115 et al., 2013).

Entretanto, a repercussão do trabalho de caminhada e da cinética *on* e *off* da FC
durante o TC6m em pacientes com DPOC ainda carece de investigação. Nossa hipótese
foi de que o trabalho de caminhada possui grande repercussão sobre as variáveis da
cinética *on* e *off* da FC. Nesse sentido, buscamos analisar o efeito do trabalho de
caminhada sobre a cinética *on* e *off* da FC durante o TC6m em pacientes acometidos por
DPOC.

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124 2 MÉTODOS

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126 Delineamento do estudo

Foi realizado um estudo transversal, quantitativo e retrospectivo de análise de
dados secundários individuais (HULLEY et al., 2003), por meio do acesso ao banco de
dados do projeto de pesquisa "Distúrbios do Sono, Cardiorrespiratórios e Físico *Funcionais em Portadores de Doença Pulmonar Obstrutiva Crônica: Um Estudo Epidemiológico*", devidamente aprovado pelo Comitê de Ética em Pesquisa da
Universidade de Santa Cruz do Sul sob o parecer n° 2.565.942 (CAAE 86010718.4.1001.5343).

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135 Critérios de inclusão e exclusão

Foram incluídos na pesquisa todos os dados dos indivíduos com DPOC, de ambos os sexos, que possuíam informações pessoais, informações clínicas e informações do TC6m completas no banco de dados do projeto de pesquisa. Foram excluídos da pesquisa todos os indivíduos acometidos por DPOC que apresentaram informações aberrantes referente aos resultados dos voluntários frente ao TC6m, erros de digitação e dados incompletos no banco de dados do projeto de pesquisa.

142 **Procedimentos metodológicos**

I) Avaliação clínica: A avaliação clínica foi realizada por meio do banco de dados
composto por informações de identificação, sexo, idade, peso, altura, índice de massa
corporal, etnia, comorbidades, estilo de vida, status tabágico, medicações em uso,
circunferência da cintura, circunferência do quadril e a relação cintura-quadril.

147

II) Avaliação da função pulmonar: A avaliação da função pulmonar foi
realizada por meio das informações coletadas mediante espirometria e retiradas do banco
de dados, sendo o Volume Expiratório Forçado no primeiro segundo e o predito (VEF1 e
%VEF1), Capacidade Vital Forçada e o predito (CVF e %CVF), relação entre o Volume
Expiratório Forçado no primeiro segundo e a Capacidade Vital Forçada e o predito
(VEF1/CVF e %VEF1/CVF) e o estadiamento da doença de acordo com a classificação
da GOLD.

155

III) Avaliação da capacidade funcional: A avaliação da capacidade funcional
foi realizada através da coleta das variáveis referentes ao TC6m no banco de dados, sendo
incluídas a Distância Percorrida no TC6m (dTC6m) e o predito para a Distância
Percorrida no TC6m (%TC6m), além das variáveis vitais que foram coletadas nos
momentos pré, pico e recuperação no primeiro minuto e quinto minuto do TC6m, como
a Frequência Cardíaca (FC) e a Saturação Periférica de Oxigênio (SpO₂).

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IV) Avaliação do trabalho de caminhada: A avaliação do trabalho de
caminhada foi realizada por meio da análise das variáveis Peso e dTC6m na seguinte
equação: T = F x D, onde T é trabalho, F é força e D é distância, de acordo com Carter et
al. (2003) e Chuang et al. (2001). O trabalho de caminhada é uma boa medida para relatar

a capacidade de exercício para o TC6m em pacientes com DPOC, pois este cálculo de
trabalho considera as diferenças de peso corporal e, portanto, estima o trabalho e o gasto
de energia, produzindo melhores coeficientes de correlação com os índices de função
pulmonar e de troca gasosa nos pacientes acometidos por DPOC (CARTER et al., 2003;
CHUANG et al., 2001).

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V) Avaliação da cinética *on* e *off* da frequência cardíaca: A avaliação da
cinética *on* e *off* da FC foi realizada por meio da análise do registro de cada batimento da
FC continuamente monitorada utilizando um cardiofrequencímetro (POLAR®, Modelo
810), durante todo o período de realização do TC6m (300 segundos). A análise da cinética *on* e *off* da FC foi realizada pelo ajuste de uma função monoexponencial após interpolação
dos valores a uma frequência de 1 batimento.min-1 (IMAI et al., 1994).

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Os ajustes foram realizados utilizando a seguinte equação: $f_{(t)} = a_0 + a (1 - e^{(t-t)})$ 180 181 $^{\text{TD}/\tau}$), onde "f_(t)" (F_(t)) representa FC a qualquer momento; "a₀" (A₀) é o valor médio da 182 FC no último minuto da linha de base do período de exercício; "a" (A1) é a amplitude, 183 isto é, a magnitude da resposta da FC em estado estacionário; (TD) é o atraso de tempo 184 para o início da resposta da FC; "t" (TAU) é a constante de tempo exponencial da curva, 185 que é o tempo necessário para atingir 63% da resposta em estado estacionário (ou seja, a 186 taxa de adaptação) e o (TMR) é o tempo médio de resposta que foi calculado por meio da 187 soma de (TD) + (TAU) (BELTRAME et al., 2012; BORGHI-SILVA et al., 2012; 188 PESSOA et al., 2013).

189

Para obter a FC de recuperação, foi realizado o cálculo da diferença entre a FC de
pico e a FC no primeiro minuto de recuperação, respectivamente (FCrec = FCpico-

FC1min). Esta avaliação permite observar as reações dos sistemas de controle fisiológico
sobre a atividade física, além de fornecer informações úteis a respeito do controle do
sistema cardiovascular, sendo a diminuição tardia da FC após o exercício sugerido como
um poderoso preditor de mortalidade (JAVORKA et al., 2003).

196

197 Análise estatística

198 Os dados coletados foram inseridos e analisados no programa computadorizado 199 Statistical Package for the Social Sciense (SPSS – versão 25.0). Os dados foram 200 apresentados de acordo com medidas de tendência central e dispersão, média e desvio 201 padrão e/ou mediana e intervalo de variação mínima e máximo. A distribuição das 202 variáveis ocorreu conforme a normalidade da amostra verificada pelo teste de Shapiro 203 Wilk. A correlação de Spearman foi realizada no SPSS para verificar a associação entre 204 as variáveis coletadas. O tamanho do efeito de D-Cohen foi verificado utilizando apenas 205 o programa Excel versão 2016. Foi considerado um nível de significância de p≤0,05.

206

207 4 RESULTADOS

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Foram incluídos no estudo 11 pacientes com DPOC, cuja as características clínicas estão descritas na Tabela I. Observa-se em nossa amostragem uma discreta predominância do sexo masculino, sujeitos acima do peso e com risco cardiovascular elevado, bem como doença pulmonar sintomática variando o estadiamento entre DPOC moderado e muito severo.

| Tabela I. Características clínicas dos pacientes com DPOC. | | | |
|--|----------|--|--|
| Variáveis Pacientes (n=11) | | | |
| Idade, anos | 66,7±9,4 | | |
| Sexo masculino, n (%) | 6 (54,5) | | |

| Etnia, n (%) | |
|----------------------------------|---------------|
| Caucasiana | 8 (72,7) |
| Parda | 3 (27,3) |
| Status tabágico, n (%) | |
| Ex-fumante | 10 (90,9) |
| Fumante | 1 (9,1) |
| Comorbidades, n (%) | |
| Sim | 6 (54,5) |
| Betabloqueador, n (%) | |
| Não | 11 (100,0) |
| IMC, kg/m ² | 28,9±5,9 |
| Classificação IMC, n (%) | |
| Eutrófico | 2 (18,2) |
| Sobrepeso | 4 (36,4) |
| Obesidade | 5 (45,5) |
| RCQ, cm | 0,9±0,1 |
| Classificação RCQ | |
| Baixo | 1 (9,1) |
| Moderado | 4 (36,4) |
| Alto | 3 (27,3) |
| Muito alto | 3 (27,3) |
| Dados espirométricos | |
| $VEF_1, l/s$ | $1,3{\pm}0,5$ |
| VEF ₁ , % predito | 45,0±17,5 |
| CVF, l/s | $2,5{\pm}0,8$ |
| CVF % predito | 63,3±14,8 |
| VEF ₁ /CVF, 1/s | 54,1±15,9 |
| VEF ₁ /CVF, % predito | 69,3±18,5 |
| Estadiamento da doença, n (%) | |
| GOLD II | 5 (45,5) |
| GOLD III | 5 (45,5) |
| GOLD IV | 1 (9,1) |

Dados expressos em Média ± Desvio padrão; N: Número amostral; (%): Frequência; DPOC: Doença pulmonar obstrutiva crônica; IMC: Índice de massa corporal; RCQ: Relação cintura-quadril; VEF₁: Volume de ar expirado no primeiro segundo; CVF: Capacidade vital forçada; VEF₁/CVF: Relação volume de ar expirado no primeiro segundo e Capacidade vital forçada; GOLD: Global initiative for chronic obstructive lung disease; cm: Centímetros; l/s: Litros por segundo;

215

A avaliação do TC6m pela distância percorrida e as variáveis de sinais vitais, bem
como do trabalho de caminhada dos pacientes com DPOC estão descritas na Tabela II. A
análise dos resultados do TC6m revelou que grande parte dos pacientes caminharam mais
de 350 metros (n=8) e o trabalho de caminhada suportado pelos pacientes foi em média
29.911,5 kg/m². Quanto ao comportamento da recuperação da FC em 1 minuto,

- 221 observamos uma média de redução de 12,2 batimentos no pós teste imediato, que denota
- 222 uma resposta inadequada de recuperação da FC nos pacientes DPOC avaliados (n=7).

223

| Tabela II. Teste de | caminhada | de se | eis minutos | e | trabalho | de | caminhada | dos |
|---------------------|-----------|-------|-------------|---|----------|----|-----------|-----|
| pacientes com DPOC. | | | | | | | | |

| pacientes com DPOC. | |
|---|------------------|
| Variáveis | Pacientes (n=11) |
| Distância percorrida | |
| TC6m, m | 372,0±52,4 |
| TC6m, % predito | 78,4±16,2 |
| Distância percorrida 350 m | |
| <350 m, n (%) | 3 (27,3) |
| >350 m, n (%) | 8 (72,7) |
| Trabalho de caminhada, kg/m ² | 29.911,5±8507,7 |
| Sinais vitais no repouso | |
| FC, bpm | 79,4±7,5 |
| SpO ₂ , % | 94,1±1,9 |
| Sinais vitais no pico do TC6m | |
| FC, bpm | $100,4{\pm}14,4$ |
| SpO ₂ , % | 93,6±2,7 |
| Sinais vitais no 1º min da rec do TC6m | |
| FC, bpm | 88,2±13,1 |
| SpO ₂ , % | 94,4±3,2 |
| Sinais vitais no 5º min da rec do TC6m | |
| FC, bpm | 79,0±9,7 |
| SpO ₂ , % | 95,6±2,9 |
| Recuperação da FC no 1º min pós TC6 | m |
| ΔFCrec, bpm | 12,2±5,8 |
| ≤14 bpm, n (%) | 7 (63,6) |
| >14 bpm, n (%) | 4 (36,4) |

Dados expressos em Média \pm Desvio padrão; N: Número de sujeitos; % = Frequência; DPOC: Doença pulmonar obstrutiva crônica; FC: Frequência cardíaca; TC6m: Teste de caminhada de seis minutos; 1º min: Primeiro minuto; 5º min: Quinto minuto; SpO₂: Saturação periférica de oxigênio; Δ FCrec: Delta da frequência cardíaca de recuperação; rec: Recuperação mmHg: Milímetros de mercúrio; m: Metros; bpm: Batimentos por minuto.

224

O comportamento da cinética *on* (transição repouso-exercício) e *off* da FC (transição exercício-recuperação) proveniente do TC6m estão descritos na Tabela III. O comportamento da cinética *on* apresentou valores mais elevados do Tempo de Atraso do Sinal (TD), da Constante de Tempo Exponencial da Curva (TAU) e do Tempo Médio da Resposta (TMR) quando comparados a cinética *off*, respectivamente, porém, sem

- 230 diferença significativa, exceto para a variável A0, o que é esperado, uma vez que na
- 231 cinética on o A0 representa a FC de repouso e na cinética off representa a FC de pico.
- 232

Tabela III. Análise da cinética on e off da frequência cardíaca dos pacientes com DPOC.

| Pacientes (n=11) | | | | | |
|------------------|------------------------|-------------------------|--------|--|--|
| Variáveis | FC on | FC off | p≤0,05 | | |
| A0, bpm | 80,5±10,8 (64,0-95,3) | 105,5±12,6 (88,5-131,2) | 0,003* | | |
| A1, bpm | 24,1±10,7 (9,4-39,2) | 24,3±10,4 (8,6-38,0) | 0,929 | | |
| TD, s | 19,6±8,7 (10,1-42,2) | 18,9±15,2 (6,2-56,5) | 0,328 | | |
| TAU, s | 57,2±26,2 (15,7-89,0) | 46,4±32,1 (12,3-98,6) | 0,182 | | |
| TMR, s | 76,8±27,0 (38,7-106,7) | 65,3±35,5 (22,1-115,4) | 0,248 | | |

Dados expressos em Média ± Desvio padrão, (Mínimo-Máximo); N: Número amostral; DPOC: Doença pulmonar obstrutiva crônica; FC: Frequência cardíaca; A0: Amplitude do sinal no tempo inicial da curva; A1: Amplitude do sinal no tempo final da curva; TD: Tempo de atraso do sinal de cada fase; TAU: Constante de tempo exponencial da curva; TMR: Tempo médio da resposta; bpm: Batimentos por minuto; s: Segundos; *: $p \le 0.05$.

233

| 234 | O tamanho do efeito de <i>d-Cohen</i> do trabalho de caminhada sobre as variáveis A0, |
|-----|--|
| 235 | A1, TD, TAU e TMR da cinética on e off da FC estão descritas na Tabela IV. O tamanho |
| 236 | do efeito das variáveis da cinética on e off da FC nos revelou um tamanho de efeito grande |
| 237 | do trabalho de caminhada sobre todas as variáveis da cinética analisadas. |
| 238 | |

Tabela IV. Análise do tamanho do efeito do trabalho de caminhada sobre a cinética on e off da frequência cardíaca dos pacientes com DPOC.

| Pacientes (n=11) | | | | |
|--------------------|-----------------------|--|--|--|
| Variáveis | Trabalho de caminhada | | | |
| A0 on, bpm | 4,959 (efeito grande) | | | |
| A0 off, bpm | 4,955 (efeito grande) | | | |
| A1 on, bpm | 4,968 (efeito grande) | | | |
| A1 off, bpm | 4,968 (efeito grande) | | | |
| TD on, s | 4,969 (efeito grande) | | | |
| TD <i>off</i> , s | 4,969 (efeito grande) | | | |
| TAU on, s | 4,963 (efeito grande) | | | |
| TAU <i>off</i> , s | 4,964 (efeito grande) | | | |
| TMR on, s | 4,959 (efeito grande) | | | |

| | TMR <i>off</i> , s | 4,961 (efeito grande) |
|-----|---|--|
| | sinal no tempo inicial da curva; $A1 = A$ | ônica; N: Número amostral; A0 = Amplitude do Amplitude do sinal no tempo final da curva; TD: ΓAU: Constante de tempo exponencial da curva; |
| | TMR: Tempo médio da resposta; bpm: | 1 1 |
| 239 | | |
| 240 | No entanto, nenhuma correlação | foi encontrada entre o trabalho de caminhada e |
| 241 | as variáveis da cinética on e off da FC, | porém outras associações interessantes foram |
| 242 | observadas entre A1 on e A1 off e en | tre o delta da FCrec com a SpO2 de repouso |
| 243 | (FIGURAS 1 e 2). | |
| | | |





Figura 1: Correlação entre o comportamento das variáveis A1 *on* e A1 *off* dos
pacientes DPOC submetidos ao TC6m. A0: Amplitude do sinal no tempo inicial da
curva; A1: Amplitude do sinal no tempo final da curva; DPOC: Doença pulmonar
obstrutiva crônica; TC6m: Teste de caminhada de seis minutos; bpm: Batimentos por
minuto. Correlação de Spearman, com nível de significância (p≤0,05).



Figura 2: Correlação entre o comportamento das variáveis SpO₂ rep e Delta FCrec
1min dos pacientes DPOC submetidos ao TC6m. SpO₂ rep: Saturação periférica de
oxigênio no repouso; Delta FCrec 1min: Delta da frequência cardíaca de recuperação em
1 minuto; DPOC: Doença pulmonar obstrutiva crônica; TC6m: Teste de caminhada de
seis minutos; %: Porcento; bpm: Batimentos por minuto. Correlação de Spearman, com
nível de significância (p≤0,05).

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260 5 DISCUSSÃO

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Os principais achados desse estudo são: a) a análise da cinética *on* e *off* da FC apresentou um comportamento de lentificação semelhante entre TD, TAU e TMR, sendo mais expressivo na cinética *on*, porém sem diferença significativa; b) o trabalho de caminhada apresentou um tamanho de efeito grande sobre todas as variáveis da cinética *on* e *off* da FC quando submetidos ao TC6m; c) associações entre as amplitudes *on* e *off* da FC (A1 *on* e A1 *off*) e entre o delta de variação da FCrec 1min e SpO₂ de repouso foram observadas (FCrec 1min e SpO₂). 269 O comportamento lentificado das variáveis TD, TAU e TMR da cinética on e off 270 da FC revela que os pacientes com DPOC estudados apresentaram um atraso ainda mais acentuado para aumentar a FC na cinética on, que para recuperar a FC na cinética off. 271 272 Nossos achados vêm ao encontro de Borghi-Silva et al. (2012) que ao estudar 273 o comportamento da cinética on da FC em pacientes DPOC, foi possível verificar maior 274 atenuação com valores maiores de TD, TAU e TMR quando comparados a adultos 275 saudáveis durante um teste de esforço de velocidade constante (BORGHI-SILVA et al, 276 2012). Pessoa et al. (2013) ao avaliar a relação da capacidade de exercício e gravidade da 277 doença com o consumo de oxigênio (VO2) e a cinética on da FC nos pacientes com 278 DPOC, destacou que o retardo da cinética on do VO₂ e, especialmente da FC, podem ser 279 um dos principais marcadores de gravidade da doença (PESSOA et al., 2013).

280

281 Dubé et al. (2015) destacaram que a existência de cinética ventilatória, de troca 282 gasosa pulmonar e de FC anormais possui implicações potencialmente negativas para o 283 desempenho do exercício e qualidade de vida em pacientes com DPOC (DUBÉ et al., 284 2015). A captação mais lenta de oxigênio e a cinética on da FC podem refletir no ajuste 285 da entrega de oxigênio e no metabolismo muscular durante o exercício físico, bem como no desempenho da capacidade funcional nesses pacientes (BORGHI-SILVA et al., 2012). 286 287 A obstrução do fluxo aéreo e a capacidade reduzida de exercício estão associadas a uma 288 atenuação da cinética on da FC em pacientes DPOC (PESSOA et al., 2013). Vasilopoulou 289 et al. (2012) e Grupta et al. (2013) destacaram que quanto maior a gravidade da DPOC 290 maior é a disfunção cardiovascular e deterioração da condição física e pior a resposta 291 cardiovascular pós-exercício nesses pacientes (GRUPTA et al., 2013; VASILOPOULOU 292 et al., 2012). Diante do exposto, ao avaliarmos o tamanho do efeito do trabalho de

293 caminhada sobre a cinética da FC observamos que o trabalho de caminhada exerce um 294 grande efeito sobre todas as variáveis da cinética da on e off da FC provenientes do TC6m. 295

296 Interessantemente, em nosso estudo encontramos associações entre a SpO₂ de 297 repouso com o delta da FCrec 1min, ou seja, quanto pior a oxigenação periférica de 298 repouso pior é seu desempenho na recuperação da FC após o exercício. Uma recuperação 299 tardia da FC após o exercício está associada ao aumento da mortalidade e diminuição de 300 sobrevida nesses indivíduos, representando um marcador prognóstico facilmente 301 mensurável em pacientes com DPOC (LACASSE et al., 2005). A média de SpO₂ de 302 repouso nos pacientes do nosso estudo foi de 94,1% e vem ao encontro dos achados de 303 Rodríguez et al. (2017) que encontraram uma lentificação da cinética off da FC em 101 304 pacientes com DPOC com média de SpO₂ de repouso de 95% (RODRÍGUEZ et al., 305 2017). No estudo de Grupta et al. (2013), os pacientes DPOC apresentaram uma falha em 306 atingir a FC alvo no exercício e uma recuperação tardia da FC após o exercício quando 307 comparado a sujeitos idosos saudáveis, o que vem ao encontro do nosso estudo ao 308 observarmos amplitudes (A1) reduzidas da FC tanto no momento da cinética on quanto 309 no momento da cinética off (GRUPTA et al., 2013).

310

311 5.6 Limitações do estudo

312 Em primeiro lugar, devemos reconhecer que a análise batimento a batimento é a 313 melhor maneira de avaliar o comportamento da FC frente a uma demanda metabólica. O 314 número amostral limitado não permite muitas estratificações e análises estatísticas mais 315 robustas. Análises de variáveis como forca muscular respiratória e periférica poderiam 316 ser inseridas para análises buscando encontrar associações entre tais parâmetros com o 317 trabalho de caminhada e a cinética on e off da FC.

318 5.7 Relevância do estudo

Esses achados ressaltam a importância clínica da necessidade de incluir ferramentas abrangentes como o trabalho de caminhada e a sua repercussão na cinética *on* e *off* da FC, bem como a resposta de recuperação da FC no 1º minuto pós teste. À vista disso, uma vez identificado respostas atenuadas da FC nos pacientes DPOC, podemos inferir que esta população necessita de um tempo maior de aquecimento para iniciar um exercício físico e da mesma forma um tempo de desaquecimento maior para recuperar a FC.

326

327 CONCLUSÃO

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Pacientes com DPOC moderada a grave apresentam um tamanho de efeito grande
do trabalho de caminhada sobre as variáveis da cinética *on* e *off* da FC quando submetidos
ao TC6m. Estes pacientes apresentam uma resposta atenuada da FC tanto no início quanto
no final do exercício e as mesmas parecem estar relacionadas a baixa saturação periférica
de oxigênio em repouso.

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PARECER CONSUBSTANCIADO DO CEP

DADOS DO PROJETO DE PESQUISA

Título da Pesquisa: DISTÚRBIOS DO SONO, CARDIORRESPIRATÓRIOS E FÍSICO FUNCIONAIS EM PORTADORES DE DPOC: UM ESTUDO EPIDEMIOLÓGICO

Pesquisador: Andréa Lúcia Gonçalves da Silva Área Temática: Versão: 1 CAAE: 86010718.4.1001.5343 Instituição Proponente: Universidade de Santa Cruz do Sul - UNISC Patrocinador Principal: Financiamento Próprio

DADOS DO PARECER

Número do Parecer: 2.565.942

Apresentação do Projeto:

 a) Título: Distúrbios do sono, cardiorrespiratórios e físico funcionais em portadores de DPOC: um estudo epidemiológico; b) Áreas do Conhecimento: Ciências Biológicas e da Saúde; c) Linha de Pesquisa: Vigilância, Prevenção e Reabilitação em Doenças Cardiorrespiratórias; d) Departamentos: Educação Física e Saúde; e) Período de Execução: Janeiro de 2018 a Dezembro de 2019; f) Local de Execução: Hospital Santa Cruz - HSC/RS e Universidade de Santa Cruz do Sul - UNISC/RS.

Objetivo da Pesquisa:

6.1 Geral Quantificar a frequência e influência dos distúrbios do sono e cardiorrespiratórios sobre o desempenho físico funcional, e capacidade de exercício e morbimortalidade em sujeitos acometidos em portadores de DPOC. 6.2 Objetivos específicos - Caracterizar os aspectos epidemiológicos, clínicos e antropométricos dos pacientes com DPOC e/ou ICC; - Avaliar os volumes pulmonares e força muscular respiratória dos pacientes com DPOC e/ou ICC; - Identificar a presença de distúrbios do sono nos pacientes com DPOC e/ou ICC; - Estudar a prevalência da coexistência de ICC+DPOC em pacientes com diagnóstico de DPOC e ICC, bem como o prognóstico e a mortalidade em seguimento de tempo de 2 anos; - Avaliar a capacidade funcional

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Página 01 de 05





Continuação do Parecer: 2.565.942

dos pacientes com DPOC e/ou ICC; - Avaliar o grau de dispneia em repouso e durante o exercício dos pacientes com DPOC e/ou ICC; - Identificar as alterações de variabilidade da frequência cardíaca (VFC dos pacientes com DPOC e/ou ICC); - Avaliar se o uso da pressão expiratória positiva melhora o desempenho nos testes de capacidade de dos pacientes com DPOC; - Correlacionar os distúrbios do sono e cardiorrespiratórios com a capacidade funcional e de exercício físico dos pacientes com DPOC e/ou ICC.

Avaliação dos Riscos e Benefícios:

Riscos: O presente projeto não apresenta nenhum risco, apenas pode vir a causar certo constrangimento em algumas perguntas dos questionários, bem como algum desconforto muscular de curta duração após os testes funcionais.

Benefícios: Este tipo de estudo é de suma importância no sentido de proporcionar tratamento adequado e seguro, podendo o mesmo reforçar os cuidados preventivos com relação às exacerbações e progressão da doença. Compreender os efeitos do das comorbidades coexistentes na DPOC auxiliará na compreensão dos mecanismos de lesão pulmonar e suas possíveis inter-relações. Este projeto, por suas características, possui impacto como gerador de conhecimento, como fortalecedor das instituições onde o projeto será desenvolvido e também na difusão do conhecimento bem como reforçando o vínculo existente entre a Universidade de Santa Cruz do Sul (UNISC), a Universidade de Illinois de Chicago (UIC) e a Universidade Federal de São Carlos (UFSCAR). A divulgação dos resultados pretende contribuir para o debate técnicocientífico e para o avanço do conhecimento no campo da avaliação dos programas de reabilitação pulmonar para DPOC. A importância de estudos sobre esta temática está explicitada principalmente na produção e difusão de conhecimento nesta área, assim como poderá contribuir para uma futura discussão a respeito da aplicação destas metodologias de avaliação e acompanhamento destes pacientes. Espera-se produção científica na área através da publicação de dissertações de mestrado, além de monografias de conclusão de cursos, 02 publicações científicas em revistas com qualis acima do nível B2 e apresentação de trabalhos em eventos científicos com publicação de resumos.O desenvolvimento da proposta prevê o fortalecimento das parcerias com pesquisadores de outras instituições promovendo maior integração de forma a potencializar os estudos desenvolvidos.Em termos de graduação, a pesquisa vem contribuir para o desenvolvimento de recursos humanos voltados para o conhecimento, a compreensão e a intervenção aos fenômenos relacionados ao processo saúde/doença e à problemática da DPOC e coexistência de DPOC/ICC. Esperamos que a pesquisa congregue esforços no sentido de repensar novos meios e estratégias para alcançar uma

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Página 02 de 05





Continuação do Parecer: 2.565.942

consciência científica em prol dos problemas estudados.

Comentários e Considerações sobre a Pesquisa:

**Importante ressaltar que este projeto é um braço temático de um projeto de pesquisa proposto pela Universidade federal de São Carlos- UFSCAR, com financiamento da FAPESP (número processo 2015/26501-1; aprovado em 01/12/2017), envolvendo 3 subprojetos de pesquisa interdependentes e 17 pesquisadores, sendo 6 pesquisadores associados, 5 pesquisadores internacionais e 3 pesquisadores nacionais de outras instituições. Proposto como ensaio original, multicêntrico, aliando esforços junto a outros grupos de pesquisa no Brasil e no exterior em uma grande colaboração de pesquisa, a elaboração do Consortium FRIENDS. Esta proposta compreenderá um grande banco de dados mundial que será composto por dados obtidos de testes de exercício cardiopulmonar de pacientes com ICC, DPOC e na coexistência da DPOC-ICC, avaliando desfechos importantes do teste cardiopulmonar e seus indicadores prognósticos. O banco de dados mundial é coordenado pelo Prof Ross Arena (colaborador internacional desta proposta), sendo que a prof. Audrey Borghi e Silva (UFSCAR) será a coordenadora líder deste banco de dados do Brasil, junto aos demais parceiros nacionais.

Considerações sobre os Termos de apresentação obrigatória:

Cronograma: início da coleta em abril. OK. Carta de aceite Promoção de Reabilitação Pulmonar do HSC: OK. Carta de aceite do HSC: OK. Orçamento: OK. Folha de Rosto: OK. TCLE: OK.

Recomendações:

Não houve menção à pesquisa junto a crianças e adolescentes, bem como não foi anexado modelo de Termo de Assentimento.

Assim sendo, em hipótese alguma poderão ser pesquisadas crianças e adolescentes.

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Página 03 de 05





Continuação do Parecer: 2.565.942

Conclusões ou Pendências e Lista de Inadequações:

Aprovado.

Considerações Finais a critério do CEP:

Projeto aprovado e em condições e ser executado.

Este parecer foi elaborado baseado nos documentos abaixo relacionados:

| Tipo Documento | Arquivo | Postagem | Autor | Situação |
|--|---|------------------------|------------------------------------|----------|
| Informações Básicas do Projeto | PB_INFORMAÇÕES_BÁSICAS_DO_P ROJETO_1067509.pdf | 20/03/2018 16:17:13 | | Aceito |
| Declaração de Instituição e Infraestrutura | Conhecimento_Instituicao_parceria_RP. pdf | 20/03/2018 16:13:02 | Andréa Lúcia Gonçalves da Silva | Aceito |
| Declaração de Instituição e Infraestrutura | Conhecimento_Instituicao_parceria_HS C.pdf | 20/03/2018 16:12:23 | Andréa Lúcia Gonçalves da Silva | Aceito |
| TCLE / Termos de Assentimento / Justificativa de Ausência | TCLE.pdf | 20/03/2018 16:11:28 | Andréa Lúcia Gonçalves da Silva | Aceito |
| Orçamento | Orcamento.pdf | 20/03/2018 16:10:53 | Andréa Lúcia Gonçalves da Silva | Aceito |
| Projeto Detalhado / Brochura Investigador | Projeto_Pesquisa_2018.pdf | 20/03/2018 16:10:26 | Andréa Lúcia Gonçalves da Silva | Aceito |
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| Folha de Rosto | Folha_de_Rosto.pdf | 20/03/2018 15:54:49 | Andréa Lúcia Gonçalves da Silva | Aceito |

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Página 04 de 05





Continuação do Parecer: 2.565.942

SANTA CRUZ DO SUL, 27 de Março de 2018

Assinado por: Renato Nunes (Coordenador)

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Página 05 de 05

ARCHIVES OF PHYSICAL MEDICINE AND REHABILITATION

VIER Official Journal of the American Congress of Rehabilitation Medicine

TABLE OF CONTENTS • Description p.1 • Impact Factor p.1 • Abstracting and Indexing p.1 • Editorial Board p.1 • Guide for Authors p.3

DESCRIPTION

The Archives of Physical Medicine and Rehabilitation publishes original, peer-reviewed research and clinical reports on important trends and developments in **physical medicine** and **rehabilitation** and related fields. This international journal brings researchers and clinicians authoritative information on the therapeutic utilization of **physical, behavioral** and **pharmaceutical agents** in providing comprehensive care for individuals with **chronic illness** and **disabilities**.

Archives began publication in 1920, publishes monthly, and is the official journal of the American Congress of Rehabilitation Medicine. Its papers are cited more often than any other rehabilitation journal.

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1

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428

GUIDE FOR AUTHORS

INTRODUCTION

Archives of Physical Medicine and Rehabilitation publishes original articles that report on important trends and developments in physical medicine and rehabilitation and in the wider interdisciplinary field of rehabilitation. Archives of Physical Medicine and Rehabilitation brings readers authoritative information on the therapeutic utilization of physical and pharmaceutical agents in providing comprehensive care for persons with disabilities and for chronically ill individuals. Archives began publication in 1920, publishes monthly, and is the official journal of the ACRM | American Congress of Rehabilitation Medicine. Its content is cited more often than any other rehabilitation journal.

A steadily increasing rate of submissions has forced the *Archives* to adopt a policy of restricting its manuscripts to topics that proved new information that may alter clinical practice or represent influential advances in the research. *Archives* will not review studies involving animal models, healthy normal samples, or small case reports, except in unusual circumstances. We may make exceptions when the clinical implications for populations of persons with chronic illness or disability are compelling. In addition, we will not review studies that report psychometric information of well-established instruments for language-specific applications.

Types of papers

Original Research: Present new and important basic and clinical information, extend existing studies, or provide a new approach to a traditional subject. Manuscripts should be limited to 3000 words of text (Introduction through Conclusions). Figures, tables, and references should be limited to the number needed to clarify, amplify, or document the text.

Brief Reports: Provide preliminary communications of new data, research methods, new ideas, and techniques. Manuscripts should be limited to 1500 words of text (or 1200 words plus 1-2 figures or tables, Introduction through Conclusions), and no more than 10 references. Brief reports should be accompanied by the appropriate reporting guideline and checklist.

The Archives will **not** consider case reports or animal studies for publication. Please do not submit them.

Commentaries (by Invitation): Focus on issues in physical medicine and rehabilitation. Manuscripts should be limited to 2000 words of text (Introduction through Conclusions). The Editorial Board reserves the right to ensure that the author is qualified, through education and professional experience, to write knowledgeably and appropriately about a particular subject before accepting a Commentary for publication. The Editorial Board will choose the author(s) for Invited Commentaries and the author(s)' identity will be anonymous until publication. Authors of the subject article may submit a response for a subsequent issue.

Editorials: Editorials published in *Archives* may only be written by the elected officers of ACRM, or by members of the Editorial Board. Prior to publication, all editorials are approved by the Editorial Board's Executive Committee. Editorials do not represent the opinions or positions of ACRM or the Editorial Board. Editorials should be limited to 1000 words of text.

Information/Education: The ACRM Communications Committee has developed a new feature, Information/Education Pages, which appear in the Organization News section of *Archives*.

These fact sheets are printed as tear-out pages. They are designed to provide consumer-friendly information on topics relevant to rehabilitation medicine, including basic background or overview, similar to a Wikipedia entry, or brief how-to suggestions. They are targeted toward people with disabilities, their caregivers, or clinicians; and are designed so that a practitioner can tear out and copy, or download the pages, to make them available to patients and caregivers.

Authors are invited to submit Information/Education Page manuscripts or proposals to the *Archives*' Editorial Office (ArchivesMail@archives.acrm.org). The ACRM Communications Committee will assess subject matter, content, and target reading level then provide feedback on suitability and instructions on how to proceed directly to the author. Note that this should not be considered an official peer review of the content. For more information go to http://www.acrm.org/publications/archives-of-pm-r/information-education-pages/.

AUTHOR INFORMATION PACK 14 Mar 2020

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Letters to The Editor: Letters are published at the discretion of the Editorial Board and should be directly related to the published article on which it comments. Letters may not reference unpublished studies or reference "in press" studies that are not publicly available. The Editorial Board reserves the right to solicit a response from the authors of the cited article. Letters must be limited to roughly 500 words of text, 1 table, and no more than 5 references.

Measurement Tools: These instrument summaries, which appear in the Organization News section of *Archives*, are designed to facilitate the selection of outcome measures by trained clinicians. The information contained in this summary represents a sample of the peer-reviewed research available at the time of the summary's publication. The information contained in these summaries does not constitute an endorsement of the instrument for clinical practice. The views expressed are those of the summary authors and do not represent those of authors' employers, instrument owner(s), the *Archives*, the Rehabilitation Measures Database or the United States Department of Health and Human Services. Authors are invited to submit proposals for new Measurement Tools to the *Archives*' editorial office (Archivesmail@archives.acrm.org) and the office will coordinate with the ACRM Measurement Networking Group for the Rehabilitation Measures Database to determine if the proposal is suitable for publication in the *Archives*. The Networking Group can assist authors with formatting their article to meet the Measurement Tools requirements.

Review Articles (Meta-Analyses): The Editorial Board welcomes state-of-the-art review articles. Manuscripts should be limited to 5000 words of text (Introduction through Conclusions), exclusive of references. The *Archives* strongly prefers systematic reviews of the literature.

Special Communications: Provide information or an objective analysis of issues in physical medicine and rehabilitation that does not qualify as a research or clinical paper or commentary. Manuscripts are peer reviewed and should be limited to 5000 words of text, exclusive of references.

BEFORE YOU BEGIN

Ethics in Publishing

Authorship

Manuscripts should have no more than 8 authors; a greater number requires written justification. The order of authorship is a joint decision of the coauthors. *Archives* follows the *Recommendations for the Conduct, Reporting, Editing, and Publication of Scholarly Work in Medical Journals* guidelines 1, which state authorship credit should be based only on substantial contributions to (1) conception and design, or acquisition of data, or analysis and interpretation of data, (2) drafting the article or revising it critically for important intellectual content, and (3) final approval of the version to be published. Conditions 1, 2, and 3 must all be met. Participation solely in the acquisition of data does not justify authorship, nor does general supervision of the research group. *Archives* may require authors to justify the assignment of authorship. Increasingly, multicenter trials are attributed to a corporate author. All members of the group who are named as authors, either in the authorship position below the title or in a footnote, must fully meet the criteria for authorship as defined above. Group members not meeting these criteria should be listed, with their permission, in the Acknowledgments. Acknowledgments to other investigators for advice or data must be documented by written authorization specifically granting permissions to the authors.

Changes in authorship: After a manuscript has been submitted, any addition, deletion, or change to the order of the authors must be submitted in writing 2 to the Editorial Office (ArchivesMail@archives.acrm.org). This written statement, explaining the change and listing the old and new author orders, must be submitted with all authors copied (including those who have been removed, if applicable). The corresponding author should instruct all copied authors to respond with their approval of the change in author order. Failure to respond or failure of all authors to agree to the change may lead to suspension of review/publication of the article.

ICMJE form

Archives requires that all authors fill out the ICMJE form. For both new submissions and revisions, the peer-review process will not begin until these documents are completed correctly and submitted as per the instructions below.

Step 1: Archives requires the author submitting the manuscript to complete and upload an ICMJE Form for Disclosure of Potential Conflicts of Interest. By this act, the author submitting the manuscript will serve as the guarantor for all coauthors in presenting accurate disclosures for the author group.

AUTHOR INFORMATION PACK 14 Mar 2020

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The guarantor is expected to consult with all coauthors about the disclosures he/she provides. Any disclosure (i.e. actual or perceived conflict of interest) must be described on the title page of the manuscript.

Step 2: At the point an editor seeks revision of a manuscript, *Archives* will require, with submission of the revised manuscript, original copies from all coauthors of the ICMJE form. Review of the revision will not commence until the editors have fully and accurately received the completed ICMJE forms from all coauthors. The editors expect the guarantor's group disclosure at submission to be consistent with the individual disclosures received at the revision stage. A written explanation will be required if this is not the case. If it is not possible to provide ICMJE forms from all co-authors at the revision stage, please contact the Editorial Office (ArchivesMail@archives.acrm.org) for alternative instructions.

Conflict of Interest: Authors must reveal to the Editorial Board any conflicts of interest that the Editorial Board or the *Archives* readers would reasonably consider relevant to the research, analysis, or interpretation presented in the manuscript. The Board will hold this information in confidence, unless the study is accepted and, in the Board's judgment, readers need to be made aware of the general nature of this possible conflict. In this case, a general description of the conflict will be published with the article.

Device Status: The submitting author must include in the title page to the manuscript any applicable Device Status Statement, as selected in the submission checklist. The statement does not affect the decision to publish a manuscript; that decision is made solely on the basis of the article's content and its value to the journal's readers. The selected statement may be published with the article.

Redundant or Duplicate Publication

Archives, as a primary source periodical, does not consider for publication material that already has been reported in a published article or is described in a paper submitted or accepted for publication elsewhere, in any print or electronic media. Abstracts (250-300 words) of preliminary research findings that are published in conference proceedings are not considered previous publications (except for submissions to the Brief Reports category). This policy does not usually preclude consideration of a manuscript that has been rejected by another journal or of a complete report that follows publication of a preliminary report, usually in the form of an abstract (250-300 words). Press reports on papers presented at a meeting will not usually be considered prior publication, but such reports should not be amplified by additional data or copies of tables and illustrations. Authors submitting manuscripts to Archives must include in their cover letter an explanation of any prior publication (published article, article in press, manuscript under review, published abstract) of the same or substantially similar work, and should explain any circumstances that might cause the Editorial Board to believe that the manuscript may have been published elsewhere (e.g. similar titles). Authors must state whether the paper includes subjects about whom a previous report has been published. Authors must include an electronic copy (upload as Related (un)published manuscripts and/or meeting abstracts) of any published article or an electronic copy of any submitted manuscript that deals in any respect whatsoever with the same patients, same animals, same laboratory experiment, or same data-in part or in full-as are being reported in the manuscript they submit to Archives.

Duplicate Publication: Duplicate publication is the publication of the same paper or substantially similar papers in any medium. Publication more than once of the same study results, whether or not the wording is the same, is rarely justified. Articles previously published in another language will not be considered for publication. The Editorial Board will take appropriate disciplinary action against authors who engage in duplicate publication of the same or substantially similar data. The Editorial Board reserves the right to consult with other journals about the content of the papers in question. Further, the Editorial Board (1) may return manuscripts prior to the review process, (2) may decide not consider any manuscripts from the author(s) for a period of time, (3) may announce publicly in *Archives* that the authors have submitted a previously published article, or (4) may refer the incident to COPE (The Committee on Publication Ethics) for discussion or advice, or (5) may take any combination of these actions. If the paper is accepted and published before evidence of duplication is discovered, the Editorial Board will announce the duplication. The Editorial Board will notify appropriate institutions, ranging from national databases to the authors' departments or university administrators, at its discretion.

Preliminary Release: Preliminary release, usually to the media, of scientific information described in a study that has been accepted by *Archives* but not yet published violates the copyright agreement between the authors and the journal. The Editorial Board may approve advance release of data (e.g. to warn the public of health hazards) in certain situations. Authors should contact the Editorial Office (ArchivesMail@archives.acrm.org) to discuss embargoes, as embargoes will preempt conditions of preliminary release.

Simultaneous Submission: Authors should not submit the same manuscript simultaneously to more than 1 journal. If the Editorial Board learns of possible simultaneous submission, it reserves the right to consult with the other journal that received the manuscript. Further, the Editorial Board may return the manuscript prior to the review process, or may reject it without regard to peer reviewer recommendations and may decide not to consider any studies from the author(s) for a period of time.

Sex/Gender Reporting

Authors are encouraged to provide gender-specific data, when appropriate, in describing outcomes of epidemiologic analyses or clinical trials; or specifically state that there were no gender-based differences. For more information please consult the Institute of Medicine's report on "SEX-SPECIFIC REPORTING OF SCIENTIFIC RESEARCH", which can be accessed at http://www.ncbi.nlm.nih.gov/books/NBK84192/pdf/Bookshelf_NBK84192.pdf.

Human and Animal Rights

If relevant, a statement must be included in the body of the manuscript that human experimentation was approved by the local institutional review board or conforms to the Helsinki Declaration 3, as stated in the section Manuscript Preparation, Methods. Also that guidelines for the care/use of nonhuman animals or other species, approved by the institution, were followed as indicated in the Methods. The species must be named in the Title, Abstract, and Methods section.

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During original submission, the corresponding author completes and uploads an *ICMJE* form. If a revised paper is submitted, all authors must complete the *ICMJE* form.

If any of the authors do have a conflict of interest, this should be clearly explained on the title page of the manuscript.

Please see the ICMJE author responsibilities regarding conflicts of interest (http://www.icmje.org/recommendations/browse/roles-and-responsibilities/author-responsibilities--co It is important to note that a conflict of interest can be actual or perceived.

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Submission of an article implies that the work described has not been published previously (except in the form of an abstract, a published lecture or academic thesis, see 'Multiple, redundant or concurrent publication' for more information), that it is not under consideration for publication elsewhere, that its publication is approved by all authors and tacitly or explicitly by the responsible authorities where the work was carried out, and that, if accepted, it will not be published elsewhere in the same form, in English or in any other language, including electronically without the written consent of the copyright-holder. To verify originality, your article may be checked by the originality detection service Crossref Similarity Check.

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Inclusive language acknowledges diversity, conveys respect to all people, is sensitive to differences, and promotes equal opportunities. Articles should make no assumptions about the beliefs or commitments of any reader, should contain nothing which might imply that one individual is superior to another on the grounds of race, sex, culture or any other characteristic, and should use inclusive language throughout. Authors should ensure that writing is free from bias, for instance by using 'he or she', 'his/her' instead of 'he' or 'his', and by making use of job titles that are free of stereotyping (e.g. 'chairperson' instead of 'chairman' and 'flight attendant' instead of 'stewardess').

AUTHOR INFORMATION PACK 14 Mar 2020

432

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Authorship

Authors have read the submitted manuscript and vouch for its accuracy. All authors have participated sufficiently in the conception and design of this work and the analysis of the data (where applicable), as well as the writing of the manuscript to take public responsibility for its content. If any author (or group of authors) listed cannot verify substantial contribution, the author's name should be moved to the acknowledgment section. If requested, authors shall produce the data on which the manuscript is based for examination by *Archives* or its assignees.

Authors warrant the manuscript is original and its essential substance, tables, or figures have not been previously published in part or in whole. The manuscript or one with substantially similar content under declared authorship or the data within it has not been accepted for publication elsewhere and it is not presently under review by any other publisher. The manuscript will not be submitted for publication elsewhere until a decision has been made on its acceptability for publication in *Archives*. This restriction does not apply to brief abstracts or press reports published in connection with scientific meetings.

Clinical trial

While there may be occasional exceptions, the *Archives* is committed to the need for clinical trial reports to be accompanied by adequate periods of follow-up. A lack of sufficient follow-up may be detrimental to a paper's acceptance.

As of January 1, 2017 the Archives will **only** consider clinical trials that have been registered before the first patient is enrolled.

For our purposes, a clinical trial is defined as "any research study that prospectively assigns human participants or groups of humans to one or more health-related interventions to evaluate the effects on health outcomes" (http://www.who.int/ictrp/en). Thus, cohort and retrospective studies without an intervention do not require registration, and neither do observational studies of clinical care. However, studies of human subjects with prospective assignment of an intervention by the investigators, regardless of the size of the trial or method of assignment, must be registered.

NEW - Reporting Guidelines and Checklists

To ensure a high and consistent quality of research reporting, original research articles, including brief reports, must contain sufficient information to allow readers to understand how a study was designed and conducted. For review articles, systematic or narrative, readers should be informed of the rationale and details behind the literature search strategy.

To achieve this goal, Archives requires that authors upload a completed checklist for the appropriate reporting guideline during original submission. Taking the time to ensure your manuscript addresses basic reporting prerequisites will greatly improve your manuscript, and enhance the likelihood of publication. These checklists serve as a guide for the editors and reviewers as they evaluate your paper.

The EQUATOR Network (http://www.equator-network.org) is an excellent resource for key reporting guidelines, checklists, and flow diagrams. These guidelines should be especially useful for *Archives*' authors.

Click on the checklist that applies to your manuscript, download it to your computer, fill it out electronically, "save as," and upload it with your manuscript when you submit. Links to mandatory flow diagrams also are provided. Below are the most commonly used checklists but please note that the Equator Network provides many others (e.g. TRIPOD, SRQR, etc.) and it is up to the authors to select the one most appropriate for their study.Randomized Controlled Trials — CONSORT — Consolidated Standards of Reporting TrialsObservational Studies — STROBE — Strengthening the Reporting of Observational studies in EpidemiologySystematic Review of Controlled Trials — PRISMA — Preferred Reporting Items for Systematic Reviews and Meta-AnalysesStudy of Diagnostic accuracy/assessment scale — STARD — Standards for the Reporting of Diagnostic Accuracy StudiesFor psychometric studies the editors recommend either the COSMIN or GRRAS guideline, though the final choice is up to the author.

During the submission process when you are prompted to state which checklist is needed please check the appropriate box for your manuscript or check Not Applicable if your paper is a Commentary, Letter to the Editor, etc. Then the system will allow you to select the file type and upload the appropriate

AUTHOR INFORMATION PACK 14 Mar 2020

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checklist and flow diagram. IT IS PERMISSIBLE TO ADD A COLUMN OR SPACE TO THE CHECKLIST THAT SPECIFIES WHERE IN THE MANUSCRIPT EACH COMPONENT HAS BEEN FOLLOWED AND USE THAT FOR YOUR UPLOAD. YOU MAY NEED TO DO THIS FOR STROBE AS WELL AS OTHERS. A MODIFIED STROBE FORM IS AVAILABLE HERE.

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Submission

Manuscripts journal's online be submitted through the must system at http://ees.elsevier.com/archives-pmr. The review process will not begin until authors have complied completely with the submission requirements. Compliance includes submission of separate documents in the following order: (1) cover letter; (2) title page, including acknowledgments and explanation of any conflicts of interest; (3) main text file (manuscript without author identifiers) including a structured or standard abstract, keywords, list of abbreviations, body of the text, suppliers' list, references, figure legends; (4) figures; (5) tables; (6) appendices; (7) supplementary files; (8) checklist; and (9) ICMJE Form for Disclosure of Potential Conflicts of Interest.

Referees

All submissions will be screened by editors to determine their suitability for further review. Manuscripts that are approved for review will be evaluated by at least one recognized expert in the particular subject matter. Biostatistical review may be obtained. Peer reviewers' assessments are referred to a member of the Editorial Board, who may also critique the manuscript. The assigned Editorial Board Member will then make a final decision and communicate with the corresponding author via e-mail. Decisions are typically communicated within 60 days after the manuscript has been approved for peer review. All reviews are conducted in a double-blind fashion.

AUTHOR INFORMATION PACK 14 Mar 2020

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Letters to the Editors and Editorials are generally evaluated by an editorial committee, however, external reviews may also be sought.

Published annually without peer review are the ACRM | American Congress of Rehabilitation Medicine presidential address and the John Stanley Coulter Lecture. The Editorial Board does not peer review the published abstracts of posters, platform presentations of scientific papers, or audiovisual materials presented at the ACRM annual meeting. *Archives* also publishes the official documents of ACRM. These documents are not peer reviewed by *Archives* and include position papers and other materials approved by the ACRM.

Revisions

When submitting your revised manuscript, at the request of the Editorial Board, please include a document, separate from your cover letter, itemizing your response to each of the suggested revisions and any other changes you have made. Use consecutive line numbering in the text and cite line numbers for each change. In addition, highlight each change in the revised manuscript. You will upload this document in the file upload step as the "Detailed Response to Reviewers." Please note that this file should be blinded and should not include author names or institutional letterhead.

If revisions are not received within the time specified in the decision e-mail, the manuscript file will be closed. A revision received after a file has been closed will be handled as a new submission. An extension beyond the deadline may be granted at the Editorial Board's discretion, but only in extenuating circumstances, given the editors' commitment to prompt publication.

Submission of a revised manuscript includes submission of separate documents in the following order: (1) cover letter; (2) title page, including acknowledgments and explanation of any conflicts of interest; (3) main text file with highlighted changes, including an appropriate (structured or standard) abstract, keywords, list of abbreviations, body of the text, suppliers' list, references, figure legends; (4) a clean copy of the main text file with no highlighted changes, including an appropriate abstract, keywords, list of abbreviations, body of the text, suppliers' list, references, figure legends; (5) figures; (6) tables; (7) appendices; (8) supplementary files; (9) checklist; and (10) ICMJE Form for Disclosure of Potential Conflicts of Interest for each author.

Resubmissions

From time to time an author may receive a decision of "Reject-Resubmit" on their original submission. This is a reject but grants the author the opportunity to revise and resubmit their work under a new manuscript number at any time. The resubmission will be linked to the original submission but there will be no expectation of acceptance. The resubmission will be treated as new.

To submit a resubmission authors should note the following:

1. Select RESUBMISSION as the article type.

2. In your cover letter, please 1) reference this manuscript ID number and include an itemized list of the revisions. 2) Use line numbering in the text and reference the revisions made by page and line number in the cover letter. 3) Highlight changes made in one copy of the manuscript text. Submit another copy with all changes accepted and not highlighted. Please add "marked copy" to the file name of the highlighted version and "clean copy" to the file name of the clean version. Submit both clean and highlighted copies under the category titled Manuscript without author identifiers. Both should remain blinded for the review process.

Additional information

Unless author(s) notify the Editorial Office of alternate preferences, all accepted articles are posted online within 5 business days of release to production. Author(s) should notify the Editorial Office immediately with any requests to delay posting. This posted version will include a fully citable PDF of the author's accepted files, and will be submitted to PubMed. Supplementary material(s), such as raw data, videos, etc., will not be included. Supplementary materials will be included when the article is typeset and published on the Articles in Press platform or in the monthly print/online issue of the journal.

AUTHOR INFORMATION PACK 14 Mar 2020

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Manuscripts accepted for publication are subject to editing during the production process. Journal style is based on the current *AMA Manual of Style*. The manuscript will be typeset and the designated corresponding author will receive page proofs for approval. Proofs must be returned to Elsevier by the corresponding author within 48 hours of receipt, as outlined in the e-mail instructions accompanying the proofs.

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Authors may appeal final decisions to the Editor-in-Chief of *Archives*. This appeal must: (1) be submitted in writing, (2) rebut the negative decision, and (3) be submitted within 30 days after the decision is rendered. Consideration of the appeal will be based on the appeal letter and the version of the manuscript that was peer reviewed. The Editor-in-Chief will assign the appeal to an Editorial Board member for review. The decision from the appeal is final.

PREPARATION

NEW- Submission checklist

Archives requires the completion and upload of a checklist with each manuscript. Please follow the instructions on the checklist to ensure all required manuscript elements are included with your submission. Please note that this submission checklist is NOT the same as a reporting guideline checklist or form noted above. This is a separate item specific to the *Archives*.

THE SUBMISSION CHECKLIST CAN BE DOWNLOADED HERE.

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Authors should prepare manuscripts according to the "Recommendations for the Conduct, Reporting, Editing, and Publication of Scholarly Work in Medical Journals" 1 as developed by the International Committee of Medical Journal Editors. The Requirements are available at http://www.icmje.org.

Document Formatting

Manuscripts must be double-spaced throughout, including the title page, abstract, text, acknowledgments, references, individual tables, and legends. Use only standard 12-point type and spacing. Use unjustified, flush-left margins. Number the pages of the text consecutively. Put the page number in the upper or lower right-hand corner of each page. Number each line on each page of the text to facilitate peer review.

Authors should format manuscripts for specific attributes such as italics, superscripts/subscripts, and Greek letters. The coding scheme for each such element must be consistent throughout the file.

Text Style: Enter only 1 space between words and sentences. Leave 1 blank line between paragraphs. Leave 2 blank lines between headings and text.

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As part of the Your Paper Your Way service, at initial submission you may choose to submit your new manuscript as a single file to be used in the refereeing process. This can be a PDF file or a Word document, in any format or lay-out that can be used by referees to evaluate your manuscript. It should contain high enough quality figures for refereeing. If you prefer to do so, you may still provide all or some of the source files at the initial submission. Please note that individual figure files larger than 10 MB must be uploaded separately. **If your paper is accepted, you will you be requested**,

AUTHOR INFORMATION PACK 14 Mar 2020

at the revision stage, to put your paper in the correct format by supplying individual files for the manuscript, tables, figures, etc. and any other items required for the publication of your article. To find out more, please read the rest of the Preparation section.

NEW SUBMISSIONS

Submission to this journal proceeds totally online and you will be guided stepwise through the creation and uploading of your files. The system automatically converts your files to a single PDF file, which is used in the peer-review process.

References

There are no strict requirements on reference formatting at submission. References can be in any style or format as long as the style is consistent. Where applicable, author(s) name(s), journal title/ book title, chapter title/article title, year of publication, volume number/book chapter and the article number or pagination must be present. Use of DOI is highly encouraged. The reference style used by the journal will be applied to the accepted article by Elsevier at the proof stage. Note that missing data will be highlighted at proof stage for the author to correct.

Formatting requirements

There are no strict formatting requirements for articles at initial submission (for requirements for revised submissions, please see REVISED SUBMISSIONS section below) but all manuscripts must contain the essential elements needed to convey your manuscript, for example Abstract, Keywords, Introduction, Methods, Results, Conclusions, Artwork and Tables with Captions.

If your article includes any Videos and/or other Supplementary material, this should be included in your initial submission for peer review purposes.

Divide the article into clearly defined sections.

Please ensure the text of your paper is double-spaced — this is an essential peer review requirement.

Figures and tables embedded in text - Your Paper Your Way

If you choose the Your Paper Your Way option when submitting your manuscript for the first time, please ensure the figures and the tables included in the single file are placed next to the relevant text in the manuscript, rather than at the bottom or the top of the file.

NEW- Peer Review

Archives uses a double-blind peer-review process. The blinded submission should be submitted in a word document and should begin with a **title** followed by the **abstract**, **keywords**, list of **abbreviations**, **body of the text**, **references**, **figure legends**, and any relevant **suppliers' list**.

The entire main body of text should be blinded as well including obvious references to institutions and names in the methods section, etc.

REVISED SUBMISSIONS

Please note if you submitted your original manuscript following the Your Paper Your Way format you will now need to put the paper in the correct format by supplying individual files for the manuscript, tables, figures, etc. and any other items required for the publication of your article.

Use of word processing software

Regardless of the file format of the original submission, at revision you must provide us with an editable file of the entire article. Keep the layout of the text as simple as possible. Most formatting codes will be removed and replaced on processing the article. The electronic text should be prepared in a way very similar to that of conventional manuscripts (see also the Guide to Publishing with Elsevier). See also the section on Electronic artwork.

To avoid unnecessary errors you are strongly advised to use the 'spell-check' and 'grammar-check' functions of your word processor.

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Manuscript files should be structured as follows: (1) Title page, including Disclosure of interest and Acknowledgments, etc.; (2) Manuscript file including Abstract, Keywords, Abbreviations, Main text, References, Legends of figures and tables; (3) Table files; (4) Figure files; (5) Supplementary files; (6) ICMJE forms.

Manuscript Headings

AUTHOR INFORMATION PACK 14 Mar 2020

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Original Article level 1 headings are: Methods, Results, Discussion, and Conclusions. Articles should include the level 2 subsection heading Study Limitations at the end of the Discussion section. Longer articles may need other level 2 and/or level 3 subsection headings to clarify their content, especially the Results and Discussion sections.

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Title Page

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19

446